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Attorney Docket No. 15966-721 (Cura-221)  
#12/10/02  
7/20/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Li et al.

SERIAL NUMBER: 09/804,014

EXAMINER: Not Yet Assigned

FILING DATE: March 12, 2001

ART UNIT: 1645

FOR: NOVEL POLYPEPTIDES AND NUCLEIC ACIDS ENCODING SAME

Assistant Commissioner for Patents  
Washington, D.C. 20231

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TRANSMITTAL LETTER

In response to the April 8, 2002 Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, transmitted herewith for filing in the present application are the following documents:

1. Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (1 pg.);
2. Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (1 pg.);
3. Computer Readable Form (1 disk);
4. Sequence Listing (57 pgs.);
5. Statement in Support of Computer Readable Form (1 pg.); and
6. Return Postcard.

Applicants believe no fees are due in this filing, however, if any fee is due, the Commissioner is authorized to charge any fees that may be due to the undersigned's account, Deposit Account No. 50-0311, Reference No. 15966-721 (Cura-221).

Respectfully submitted,

April 24, 2002

Ivor R. Elvin (Reg. No. 39,529)  
David E. Johnson (Reg. No. 41,874)  
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**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

In response to the Notice to Comply with Sequence Listing Requirements, mailed April 8, 2002, in the above mention application, Applicants submit a copy of the Notice to Comply, a substitute copy of the Sequence Listing (57 pages), a substitute computer readable form (CRF) of the "Sequence Listing", and a statement that the content of the paper and computer readable copies are the same.

Applicants believe no fees are due in this filing, however, if any fee is due, the Commissioner is authorized to charge any fees that may be due to the undersigned's account, Deposit Account No. 50-0311, Reference No. 15966-721 (Cura-221).

The Examiner is encouraged to call the undersigned below with any questions or comments related to this filing.

Respectfully submitted,

Ivor R. Elrifi (Reg. No. 39,529)

David E. Johnson (Reg. No. 41,874)

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April 24, 2002

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,014	03/12/2001	Li Li	15966-721

CONFIRMATION NO. 8877

## FORMALITIES LETTER



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Date Mailed: 04/08/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE